



[2656/2]

THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

Applicants : BRANDER et al.  
Serial No. : 08/976,159  
Filed : November 21, 1997  
For : ENHANCED MATCHING APPARATUS AND  
METHOD FOR POST-TRADE PROCESSING AND  
SETTLEMENT OF SECURITIES TRANSACTIONS  
Examiner : Romain Jeanty  
Art Unit : 2765  
Assistant Commissioner  
for Patents  
Washington, D.C. 20231

MAY 29 2001

Technology Center 2100

I hereby certify that this correspondence is being deposited with the  
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10233, on

Date 22 May 2001 Atty's Reg. # 80,009  
Atty's Signature

MICHELLE M. CARNIAUX  
KENYON & KENYON

AMENDMENT

Sir:

This paper addresses the Office Action dated February 7, 2001. Initially, please amend the application as set forth below.

IN THE CLAIMS:

Please amend the claims as follows:

1. (Amended) A system for settlement of a securities trade by obtaining agreement as to the details of the trade among a broker, institution, agent and interested parties comprising:

- a. a computer system which enables the broker, institution, agent and interested parties to send and receive communications;
- b. a standing instructions database containing sets of instructions for trade settlement input by the institution, the broker and the agent prior to the securities trade;